



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patricia Lewis
PATENT
#6/a
Wym
3-23-04

APPLICANT: T.C. Jacoby, Jr.
SERIAL NO.: 09/773,803
FILED: February 2, 2001
EXAMINER: Garg, Yogesh C.
DOCKET NO.: TCJC 7812 US
GROUP ART UNIT: 3625
FOR: Computerized Commission Based
Trading Operations

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 19, 2004

Mark E. Books
Mark E. Books, Reg. No. 40,918

March 19, 2004
Date of Signature

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 19, 2004
St. Louis, Missouri

AMENDMENT A

Sir:

In response to the Office Action of March 8, 2004, please enter the following amendments:

- **Amendments to the Claims begin on Page 2.**
- **Detailed Remarks begin on Page 9.**

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MAR 24 2004
GROUP 3625



3625

Docket No.: TCJC 7812 US
Date: March 19, 2004

In re application of: T.C. Jacoby, Jr.	
Serial No.: 09/773,803	Group No.: 3625
Filed: February 2, 2001	Examiner: Yogesh C. Garg
For: COMPUTERIZED COMMISSION BASED TRADING OPERATIONS	

St. Louis, Missouri

Mail Stop NON-FEE AMENDMENT
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is:

- ☒ An amendment in the above-identified application in response to the Office Action filed March 8, 2004;
☒ No additional fee is required.

Small Entity Status:

- ☒ Applicant claims small entity status.

The fee has been calculated as shown:

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CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate - Other Than A Small Entity	Rate - Small Entity	Additional Fee
Total Claims	24 -	28 =	0	\$18.00	\$9.00	0.00
Indep. Claims	3 -	4 =	0	\$86.00	\$43.00	0.00

Total Additional Fee: \$00.00

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17, which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Mark E. Books

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